

Express Mail No.EV331755906US Attorney Docket No. UMC-96-279 CON Client/Matter No. 81848.0016.001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Chih-Chien Liu, Ta-Shan Tseng, W. B. Shieh, J. Y. Wu, Water Lur and Shih-Wei Sun

Serial No. 09/546,174

Filed: April 11, 2000

For: HIGH DENSITY PLASMA CHEMICAL

**VAPOR DEPOSITION PROCESS** 

Group Art Unit: 1711

Examiner: Sergent, Rabon A.

Confirmation No.: 4793

## UNDER 37 C.F.R. 1.97

MAIL STOP PETITIONS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant hereby submits for filing under 37 CFR 1.97 a disclosure statement. In submitting this reference, no representation is made or implied that the reference is or is not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and a copy of such patent and English translation is attached hereto.

No fee is believed due for this submittal. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Respectfully submitted,

10 25 04 Date

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary)				First Named Inventor	Chih-Chien Liu et al.
				Art Unit	1711
				Examiner Name	Sergent, Rabon A.
Sheet	1	of	1	Attorney Docket No.	UMC-96-279 CON

Examiner Initials			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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Examiner Initials	Cite No.1	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup>	IVIIVI-DU-YYYY	Applicant of Cited Doc	Figures Appear	<u> </u>
		JP-A 7-92839	11/01/1996	Sony Corporation		Yes
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at \(\frac{\text{www.uspto.gov}}{\text{uspto.gov}}\) or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \(^6\) Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.